

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Jaffar et al.

Serial No.: 10/799,126

Examiner: Pyo, Kevin K.

Filing Date: March 11, 2004

Group Art Unit: 2878

Title: LIGHT TO PWM CONVERTER

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☒ Under 37 CFR 1.97(b).
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☐ Under 37 CFR 1.97(c) together with **either** a:
☐ Statement under CFR 1.97(e), or
☐ \$180.00 fee under 37 CFR 1.17(p).
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a
Statement under 37 CFR 1.97(e), **and**
\$180.00 fee as set forth in 37 CFR 1.17(p).
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☐ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, **or**
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. _____, filed _____, now U.S. Patent No. _____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☒ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

☒ **FEE AUTHORIZATION**

Please charge to Deposit Account **50-3718** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-3718** pursuant to 37 CFR 1.25.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

- ☐ First Class Mail
- ☐ "Express Mail Post office to Addressee" service under 37 CFR 1.10.

Express Mail Label No.

Date of Deposit:

In an envelope addressed to: Commissioner for Patents,
P.O. Box 1450, Alexandria VA 22313-1450.

OR

- ☐ I hereby certify that this paper is being ^{filed} ~~facsimile~~ transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile: ^{file} 6/12/07

Typed Name: Joy Reinhart

Signature: Joy Reinhart

Respectfully submitted,

Jaffar et al.

By

Jay K. Malkin
Attorney/Agent for Applicant(s)

Reg. No. 31,393

Date: 6/12/07

Telephone No. (303) 298-9888

REFERENCE DESIGNATION

[illegible]

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES	NO
		DE 102 61 428 A1	July 22, 2004	Braune et al.		X
		DE 102 61 365 A1	July 22, 2004	Lugauer et al.		X
		EP 1 160 883 A2	December 5, 2001	Shimizu et al.		
		EP 1 369 935 A1	December 12, 2003	Mueller et al.		

	German Office Action dated March 28, 2006 involving German Application No. 10 2005 045 106.3-33 (co-pending application)
	English translation of German Office Action dated March 28, 2006 involving German Application No. 10 2005 045 106.3-33 (co-pending application)
	EVIDENT TECHNOLOGIES, Nanomaterials Catalog v5.0, Nanomaterial Products (August 2004), pp. 1 - 12

DATE CONSIDERED